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## Predictive Coding

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- Prediction
- Prediction in Images
- Principle of Differential Pulse Code Modulation (DPCM)
- DPCM and entropy-constrained scalar quantization
- DPCM and transmission errors
- Adaptive intra-interframe DPCM
- Conditional Replenishment



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## Prediction

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*Prediction is difficult – especially for the future.*

*Mark Twain*

- Prediction: *Statistical estimation procedure where future random variables are estimated/predicted from past and present observable random variables.*
- Prediction from previous samples:  $\hat{S}_0 = f(S_1, S_2, \dots, S_N) = f(\mathbf{S})$
- Optimization criterion
$$E\left\{\left(S_0 - \hat{S}_0\right)^2\right\} = E\left\{\left[S_0 - f(S_1, S_2, \dots, S_N)\right]^2\right\} \rightarrow \min$$
- Optimum predictor:

$$\hat{S}_0 = E\{S_0 \mid (S_1, S_2, \dots, S_N)\}$$



## Structure

- The optimum predictor  $\hat{S}_0 = E\{S_0 | (S_1, S_2, \dots, S_N)\}$  can be stored in a table (Pixels: 8 bit  $\rightarrow$  size  $2^{8N}$ )
- Optimal linear prediction (zero mean, Gaussian RVs)

$$\hat{S}_0 = a_1 S_1 + a_2 S_2 + \dots + a_N S_N = \mathbf{a}^t \mathbf{S}$$

- Optimization criterion

$$E\{(S_0 - \hat{S}_0)^2\} = E\{(S_0 - \mathbf{a}^t \mathbf{S})^2\}$$

- Optimum linear predictor is solution of

$$\mathbf{a}^t \mathbf{R}_s = E\{S_0 \mathbf{S}^t\}$$

- In case  $\mathbf{R}_s = E(\mathbf{S}\mathbf{S}^t)$  is invertible

$$\mathbf{a} = \mathbf{R}_s^{-1} E\{S_0 \mathbf{S}\}$$



## Prediction in Images: Intra-frame Prediction

- Past and present observable random variables are prior scanned pixels within that image
- When scanning from upper left corner to lower right corner:

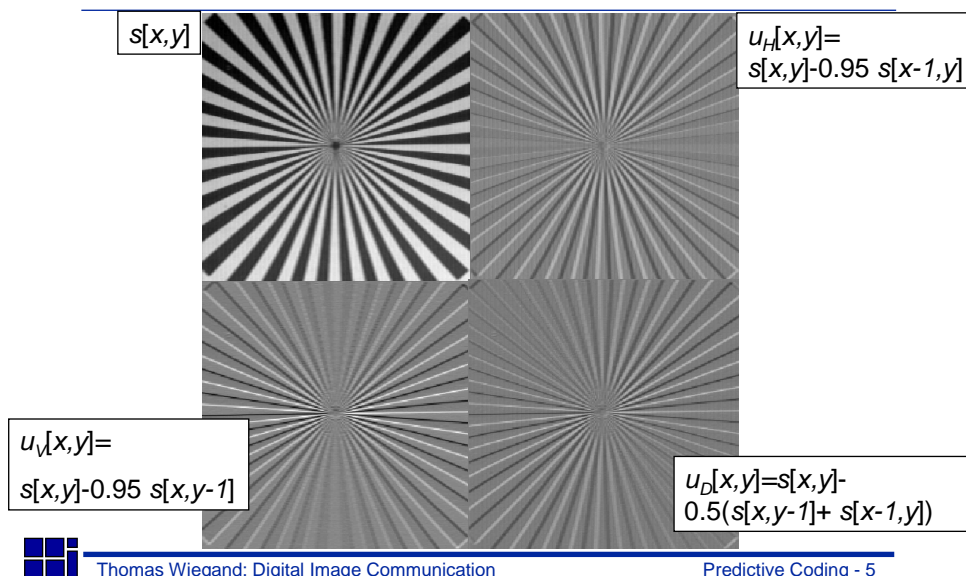
B	C	D
A	X	

- 1-D Horizontal prediction: A only
- 1-D Vertical prediction: C only
- Improvements for 2-D approaches (requires line store)

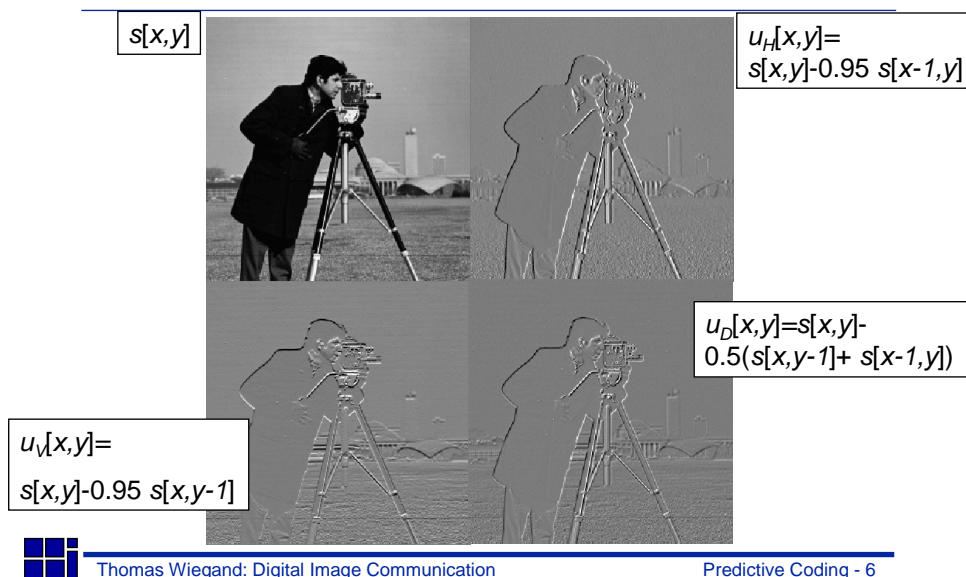
$$\hat{s}(x, y) = \sum_{\substack{p=-P_1 \\ (p,q) \neq (0,0)}}^{P_2} \sum_{q=0}^Q a(p, q) \cdot s(x-p, y-q)$$



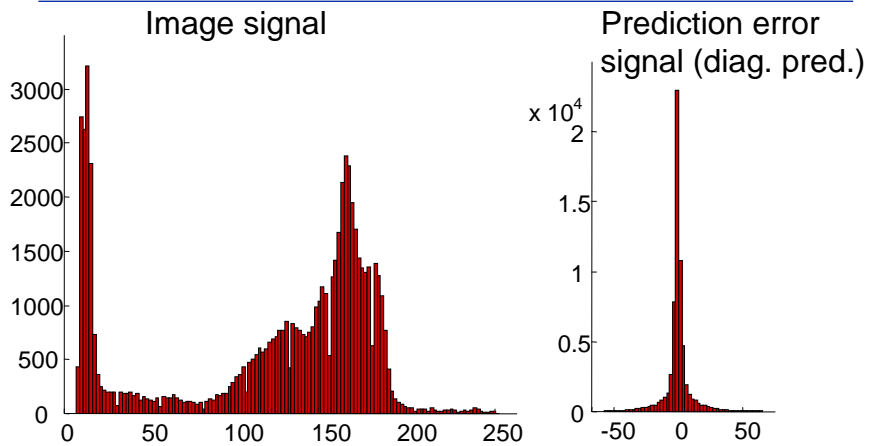
## Prediction Example: Test Pattern



## Prediction Example: Cameraman



## Change of Histograms: Cameraman



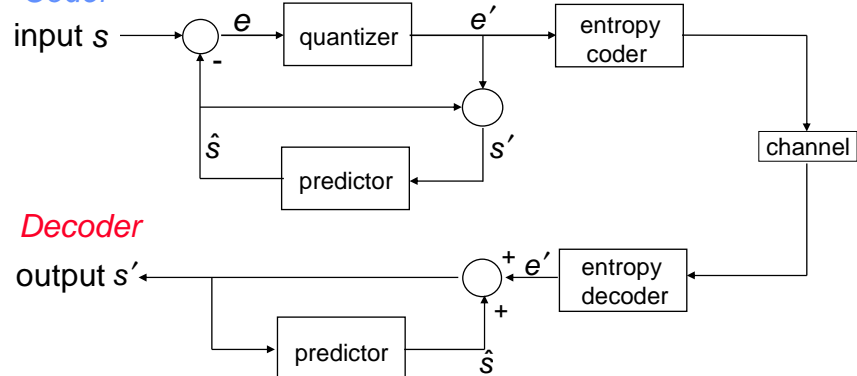
*Can we use prediction for compression ?*

*Yes, if we reproduce the prediction signal at the decoder*



## Differential Pulse Code Modulation

*Coder*



Prediction error

$$e = s - \hat{s}$$

Reconstruction

$$s' = e' + \hat{s}$$

Reconstruction error = quantization error

$$s' - s = e' - e = q$$

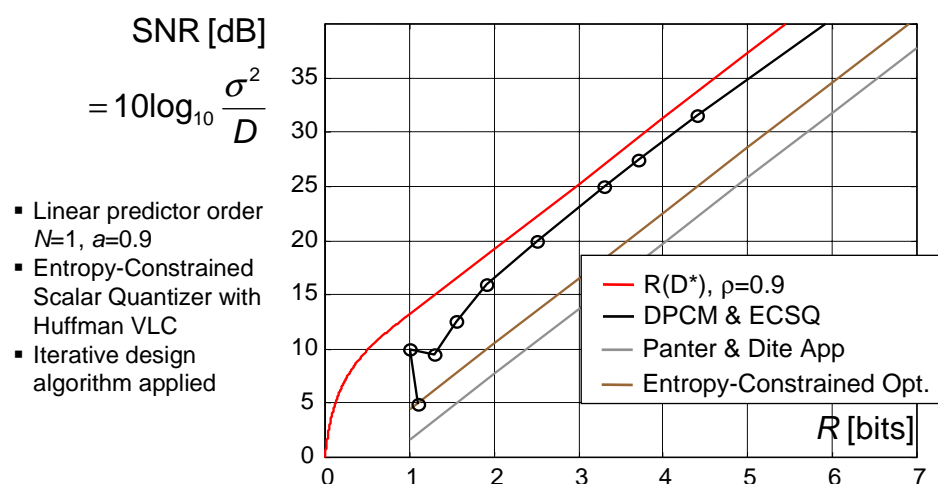


## DPCM and Quantization

- Prediction is based on quantized samples
- Stability problems for large quantization errors
- Prediction shapes error signal (typical pdfs: Laplacian, generalized Gaussian)
- Simple and efficient: combine with entropy-constrained scalar quantization
- Higher gains: Combine with block entropy coding
- Use a switched predictor
  - Forward adaptation (side information)
  - Backward adaptation (error resilience, accuracy)
- DPCM can also be conducted for vectors
  - Predict vectors (with side information)
  - Quantize prediction error vectors



## Comparison for Gauss-Markov Source: $\rho=0.9$



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## DPCM with Entropy-Constrained Scalar Quantization

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Example: Lena, 8 b/p



$K=511, H=4.79 \text{ b/p}$      $K=15, H=1.98 \text{ b/p}$      $K=3, H=0.88 \text{ b/p}$   
 $K$ ...number of reconstruction levels,  $H$ ...entropy



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## Transmission Errors in a DPCM System

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- For a linear DPCM decoder, the transmission error response is superimposed to the reconstructed signal  $S'$
- For a stable DPCM decoder, the transmission error response decays
- Finite word-length effects in the decoder can lead to residual errors that do not decay (e.g., limit cycles)



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## Transmission Errors in a DPCM System II

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Example: Lena, 3  $b/p$  (fixed code word length)



Error rate  $p=10^{-3}$ .

1D pred., hor.  $a_H=0.95$

1D pred., ver.  $a_V=0.95$

2D pred. \*,  $a_H=a_V=0.5$

from: Ohm



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## Inter-frame Coding of Video Signals

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- Inter-frame coding exploits:
  - Similarity of temporally successive pictures
  - Temporal properties of human vision
- Important inter-frame coding methods:
  - Adaptive intra/inter-frame coding
  - Conditional replenishment
  - Motion-compensating prediction (in Hybrid Video Coding)
  - Motion-compensating interpolation

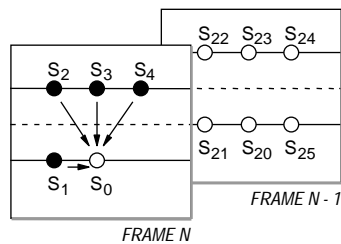
from: Girod



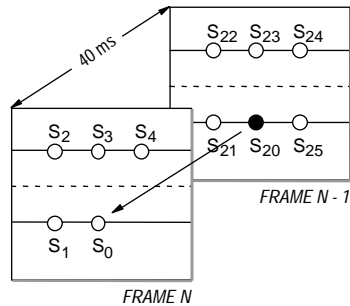
## Principle of Adaptive Intra/Inter-Frame DPCM

Predictor is switched between two states:  
for moving or changed areas.

Intra-frame prediction  
for moving or changed areas.



Inter-frame prediction (previous frame prediction)  
for still areas of the picture.



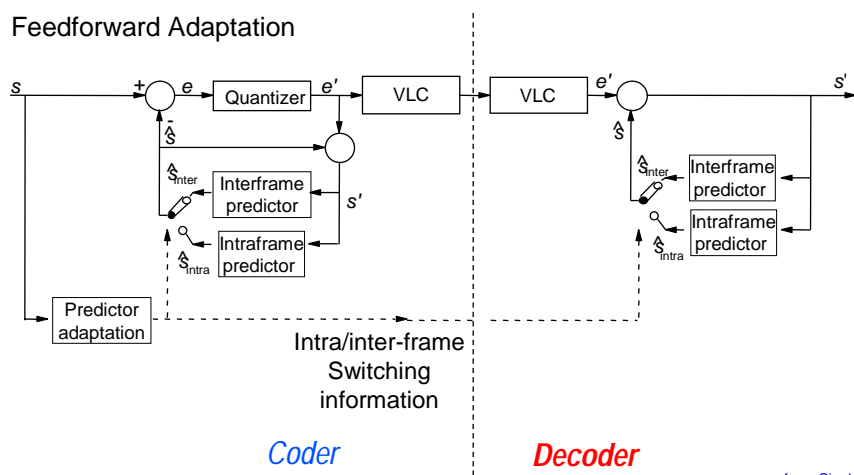
$$\hat{S} = a_1 \cdot S'_1 + a_2 \cdot S'_2 + a_3 \cdot S'_3 + a_4 \cdot S'_4$$

$$\hat{S}_{inter} = S'_{20} \quad \text{from: Girod}$$



## Intra/Inter-Frame DPCM: Adaptation Strategies, I

Feedforward Adaptation



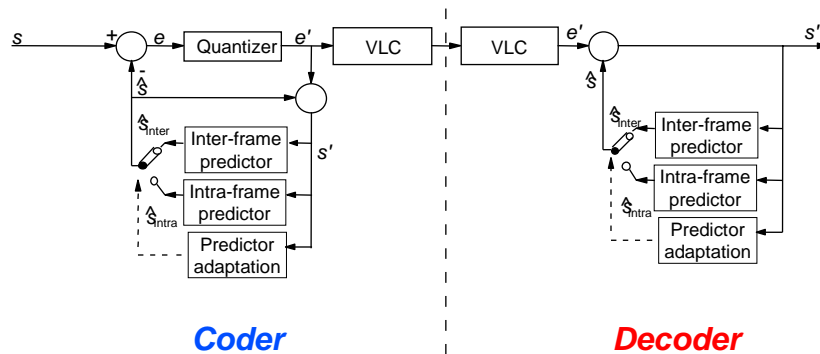
from: Girod





## Intra/Inter-Frame DPCM: Adaptation Strategies, II

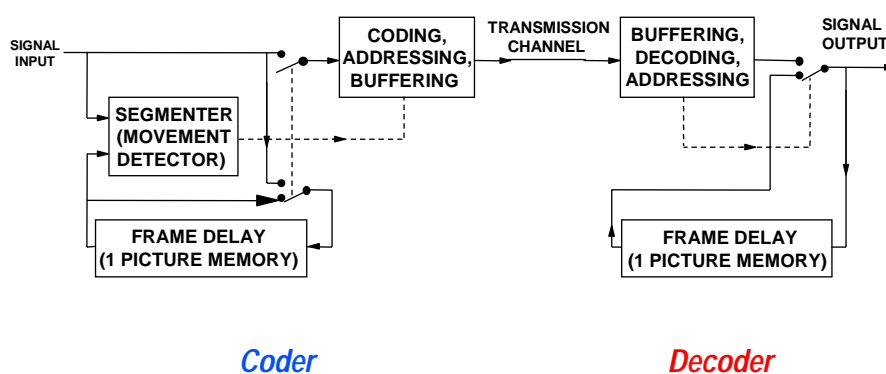
### Feedback Adaptation



from: Girod



## Principle of a Conditional Replenishment Coder



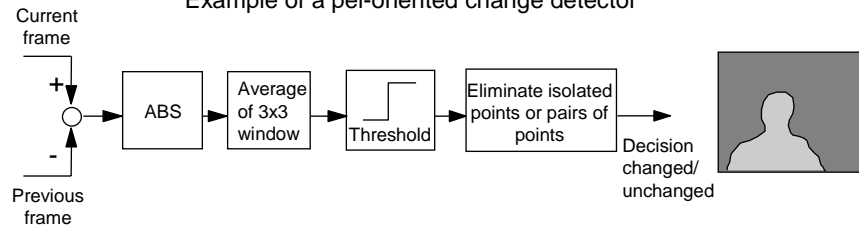
- Still areas: repeat from frame store
- Moving areas: transmit address and waveform

from: Girod

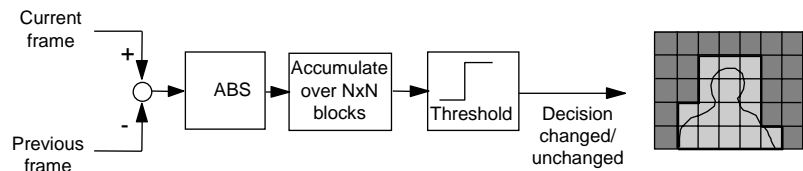


## Change Detection

Example of a pel-oriented change detector



Example of a block-oriented change detector

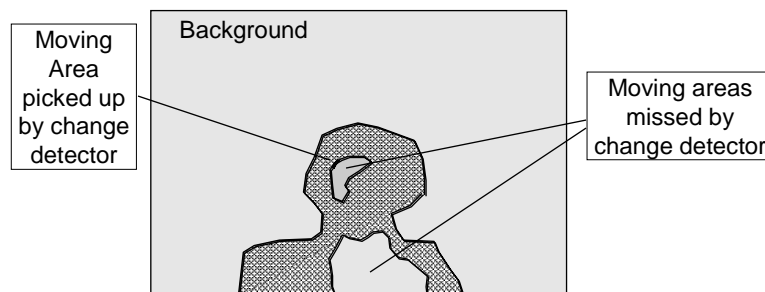


from: Girod



## The "Dirty Window" Effect

Conditional replenishment scheme with change detection threshold set too high leads to the subjective impression of looking through a dirty window.



from: Girod



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## Summary

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- Prediction: Estimation of random variable from past or present observable random variables
- Optimal prediction
- Optimal linear prediction
- Prediction in images: 1-D vs. 2-D prediction
- DPCM: Prediction from previously coded/transmitted samples (known at coder and decoder)
- DPCM and quantization
- DPCM and transmission errors
- Adaptive Intra/Inter-frame DPCM: forward adaptation vs. backward adaptation
- Conditional Replenishment: Only changed areas of image are transmitted

